

**Texas Comptroller of Public Accounts
Internal Audit Division**



Fiscal 2014 Audit Plan

*Approved by the Honorable Susan Combs, Texas Comptroller
September 29, 2013*

Comptroller of Public Accounts - Internal Audit Division
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Project Title	Division	Area	Project Hours
Fiscal Year 2014 Audits			
Audit of Security Incident Management	Information Security Privacy Office Innovation and Technology	Information Security Privacy Office All	810
Ethics Review	Agency Administration & Executive Administration	Human Resources	650
Audit of Treasury PeopleSoft System	Treasury Operations Innovation and Technology	All	910
Audit of Appropriation Control	Fiscal Management	Fiscal Integrity - Appropriation Control	980
Audit of Audit Headquarters	Tax Administration	Audit - Headquarters	985
Total FY 14 Audit Hours:			4,335
Fiscal Year 2013 Audits In Progress			
Audit of Property Value Study Field Area	Property Tax Assistance	Property Value Study Field Area	830
Audit of Event Trust Funds	Fiscal Management Economic Development and Analysis	Fiscal Integrity - Fiscal Analysis Statewide Fiscal Services - Expenditure Audit Economic Development and Analysis	810
Audit of Software Licensing	Innovation and Technology	IR Planning, Budgeting and Contracting - IT Support Team	860
Audit of Expenditure Audit's Post-Payment Audit Processes	Fiscal Management	Fiscal Services/ Expenditure Audit	70
Audit of IT Hardware Services Section	Innovation and Technology	IT Infrastructure/ IT Customer Service/ Hardware Services/ Desktop Services Team	85
Audit of TPASS Contract Management	TPASS	Statewide Procurement & Contract Management/ Contract Management	255
Audit of Business Continuity and Disaster Recovery Programs	Information Security	Information Security	85
Audit of Fiscal Systems Support - Software Development Life Cycle (SDLC)	Fiscal Management	Statewide Fiscal Systems/ Fiscal Systems Support	365
Audit of Security Awareness Training	Information Security	Information Security	255
Audit of Cash Flow Forecasting	Treasury Operations	Cash Flow Forecasting	520
Audit of Payments and Returns Process	Revenue Administration	Account Maintenance Revenue Processing Revenue Accounting	85
Audit of the JET Program	Educational Opportunities & Investments	Educational Opportunities & Investments	245

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Project Title	Division	Area	Project Hours
Fiscal Year 2013 Audits In Progress - continued			
Audit of Fleet Management	TPASS	Statewide Procurement & Contracts	60
Audit of Cash Handling and Returns Processing	Tax Administration	Enforcement	300
Total FY 13 Audits In Progress Hours:			4,825
Special Projects/Management Requests:			
Follow Ups			540
Client Assist (Internal/External)			158
FY 2013 Annual Internal Audit Report			130
FY 2015 Risk Assessment			1020
IT Steering Committee			80
Other Projects			1885
Special Projects/Management Requests Carry forward			280
Peer Review (Internal)			200
Other Management Requests			3187
Total Special Projects/Management Requests:			7,480
Total Fiscal Year 2014 Audit Hours:			4,335
Total Fiscal Year 2013 Audits In Progress Hours:			4,825
Total Special Projects/Management Requests:			7,480
Direct Audit Hours:			16,640
Indirect Hours:			8,320
Total Hours			24,960